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PATENT
Attorney Docket No.: 02307O-077630
UC Case No. 99-177-5

Assistant Commissioner for Patents, Washington, D.C. 20231

on Marin 16,4001

TOWNSEND and TOWNSEND and CREW LLP

By Jay M. Mawhall

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

John Harada, et al.

Application No. 09/516,052

Filed: March 1, 2000

For: LEAFY COTYLEDON1 GENES AND

THEIR USES

RECEIVED

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TECH CENTER 1600/2900

# POWER OF ATTORNEY BY ASSIGNEE AND EXCLUSION OF INVENTOR(S) UNDER 37 CFR § 3.71

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints Kevin L. Bastian, Reg. No. 34,774; Eric J. Baude, Reg. No. P-47,413, Kathleen L. Choi, Reg. No. 43,433, M. Henry Heines, Reg. No. 28,219; Matthew E. Hinsch, Reg. No. P-47,651; Laurence J. Hyman, Reg. No. 35,551; Jeffry S. Mann, Reg. No. 42,837; Annette S. Parent, Reg. No. 42,058; J. David Smith, Reg. No. 39,839, William M. Smith, Reg. No. 30,223; Joseph R. Snyder, Reg. No. 39,381; Eugenia Garrett-Wackowski, Reg. No. 37,330; Ellen Lauver Weber, Reg. No. 32,762; Kenneth A. Weber, Reg. No. 31,677;

John Harada, et al. Page 2



Attorn Docket No.: 02307O-077630 UC Case No. 99-177-5

369, all of the firm of Townsend and Townsend and Crew LLP, William B. Kezer, Reg. No. 37 as its attorneys to prosecute this application and to transact all business in the United States the

Patent and Tra	ademarl	k Office connected the	rewith, said appointment	t to be to the exclusion of
inventors and	their at	torney(s) in accordance	e with 37 CFR § 3.71.	
	An ass	signment of the entire i	nterest in the above-ider	ntified subject application
			·	_ at reel/frame
	X		submitted under separat	te cover to Attn: Box
Assignments	for reco	ording, a copy not for re	ecordation is enclosed.	
relative to said	d applic Towns Two E	e direct all telephone ca cation to the following send and Townsend an Embarcadero Center, 81 rancisco, California 94	address: d Crew LLP th Floor	h and all correspondence
		ASSIGNEE:	THE REGENTS OF T UNIVERSITY OF CA	
		Signature: Typed Name: Title:	Linda S. Stevenson Manager, Patent Pro Office of Technology 1111 Franklin Street, I Oakland, CA 94607-5	n rosecution Transfer & Loor Fifth Floor
Data di	2-12-	0		

SF 1196976 v1

OIPE	PTO/SB/96 (08-00)  Approved for u.s. Arrough 10/31/2002. OMB 0651-0031  U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  Approved for u.s. Arrough 10/31/2002. OMB 0651-0031  U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  Approved for u.s. Arrough 10/31/2002. OMB 0651-0031  U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  U.S. Patent and U.S. Patent Office, U.S. DEPARTMENT OF COMMERCE  U.S. Patent Office, U.S. DEPARTMENT OF
MAR 2 1	STATEMENT UNDER 37 CFR 3.73(b)
K.	pplicant/Patent Owner: John Harada, Tamar Lotan, Masa-Aki Ohto, Robert B. Goldberg, Robert L. Fischer, Anhthu Bui,
WY & TRAS	Raymond Kwong
	Application No./Patent No.: 09/516,052 Filed/Issue Date: March 1, 2000
	Entitled: LEAFY COTYLEDON1 GENES AND THEIR USES
	The Recents of the University of California , a corporation
	(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
	states that it is:
	1.   the assignee of the entire right, title, and interest; or
	an assignee of an undivided part interest
	in the patent application/patent identified above by virtue of either:
	A.   An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
	OR
a a	B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:
	1. From: To :  The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
	2. From:
	The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
	Reel, Flattle, of for which a copy the core
	3. From: To :
	The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
	Additional documents in the chain of title are listed on a supplemental sheet.
	Copies of assignments or other documents in the chain of title are attached.  [NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]
	The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.
	3-12-01 Signature  Signature
	Date Linda S. Stevenson
	Typed or printed name
	Manager, Patent Prosecution

U.C. Case No. 99-177-5

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Q.C. Case No. 00		which is hereby acknowledged, A	ssignor(s),	ElVFD
For good and valu	uable consideration, the receipt of		MADA	^ ^
1. <u>John Harada</u>		2. Tamar Lotan	MAR 2	Z 2001
3. Masa-Aki Ohto	)	4. Robert B. Goldberg	TECH CENTER	1600/2000
	her	6. <u>Anhthu Bui</u>		-
7. Raymond Kwo	ong			
Corporation, have	signs and transfers to ASSIGNE ving its statewide administrative and the successors, assigns and le United States and its territorial publich are disclosed in the invention	egal representatives of the ASSIC possessions and in all foreign co	TRIEF AN OF ITS HULL, LILLE AND	
LEAFY COTYLE	DON1 GENES AND THEIR USES			
and which is for	and in		•	
(a)	U.S. provisional application filed	I herewith and listing the above-na	med persons as inventors	
(b)	U.S. patent application filed here	ewith and listing the above-named	persons as inventors	
(c) <u>X</u>	U.S. application serial no. <u>09/51</u>	16,052, filed on <u>March 1, 2000</u>		
(d)	U.S. Patent No.:	, issued		
Patent to be of part, extension of said letters	quivalent thereof in a foreign cour btained for said invention by the , conversion to 35 USC 111(a) or Patent and all rights under all Inter	or substitute thereof, and any reiss rnational Conventions for the Prote	sue, reexamination or extension ection of Industrial Property;	
ASSIGNOR(S)	hereby covenants that no assignr	ment, sale, agreement or encumb ssignment;	rance has been or will be made	
ASSIGNOR(S) facts and docu accessible to thereto and v instruments of and said Lette	further covenants that ASSIGNED Imments relating to said invention at ASSIGNOR and will testify as to will promptly execute and deliver affidavits required to apply for, ers Patent and said equivalents eof. An attorney of record is authorment the filing date and serial nument the said equivalents.	E will, upon its request, be provind said Letters Patent and legal end to the same in any interference, or to ASSIGNEE or its legal reprobation, maintain, issue or enforce thereof which may be necessar	litigation, or proceeding relating resentative any and all papers as said application, said invention or desirable to carry out the ution of this assignment to inser	e



THE ASSET FRENCH CONTRACTOR

executed this	Signature of inventorist
day of July 20	Modeld /
T day of Tuly , 2000	- Sustainer
day of, 20	

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COPY

U.C. Case No. 99-177-5

or good and v	valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),
I. <u>John Harad</u>	a 2. Tamar Lotan
3. <u>Masa-Aki O</u>	hto 4. Robert B. Goldberg
5. <u>Robert L. Fi</u>	ischer 6. Anhthu Bui
	wong
Corporation, I 94607-5200, interest for th improvements	assigns and transfers to ASSIGNEE, The Regents of the University of California, a California having its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and the United States and its territorial possessions and in all foreign countries in and to, any and all swhich are disclosed in the invention entitled:
and which is t	
(a)	U.S. provisional application filed herewith and listing the above-named persons as inventors
(b)	U.S. patent application filed herewith and listing the above-named persons as inventors
(c) <u>X</u>	U.S. application serial no. <u>09/516,052</u> , filed on <u>March 1, 2000</u>
(d)	U.S. Patent No.:, issued
	l equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters obtained for said invention by the above application or any continuation, division, continuation-inconversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension

of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.



IT THE KEELINAME

executed this	Signature of Inventor(s)
day of, 20	
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day of, 20	1.01-
4th day of July , 2000	mescali Ohto
day of, 20	
day of, 20	



U.C. Case No. 99-177-5

or good and va	aluable consideration, the receipt of whic	ch is hereby acknowledged, ASSIGNOR(S),
	a	2. Tamar Lotan
	hto	4. Robert B. Goldberg
	scher	6. Anhthu Bui
7. <u>Raymond Kv</u>	wong	•
Corporation, h 94607-5200, interest for the	having its statewide administrative on	The Regents of the University of California, a California ces located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA representatives of the ASSIGNEE all of its right, title and essions and in all foreign countries in and to, any and all tled:
LEAFY COTYL	LEDON1 GENES AND THEIR USES	
and which is fo		
(a)		with and listing the above-named persons as inventors
(b)	U.S. patent application filed herewith	a and listing the above-named persons as inventors
(c) <u>X</u>	U.S. application serial no. 09/516,05	
(d)		issued
Patent to be	obtained for said invention by the above	including the right to claim priority and, in and to, all Letters we application or any continuation, division, continuation-in- stitute thereof, and any reissue, reexamination or extension and Conventions for the Protection of Industrial Property;
ASSIGNOR(S)	b) hereby covenants that no assignment, which would conflict with this assignment	sale, agreement or encumbrance has been or will be made or

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.



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27 day of June, 2000	graces 1. Viere
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day of . 20	

U.C. Case No. 99-177-5

For good and val	uable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),
1. <u>John Harada</u>	2. <u>Tamar Lotan</u>
3. Masa-Aki Oht	4. Robert B. Goldberg
	cher6. <u>Anhthu Bui</u>
7. Raymond Kw	rong a California a California
Corporation, ha 94607-5200, a interest for the improvements v	ssigns and transfers to ASSIGNEE, The Regents of the University of California, a California sying its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, CA awing its statewide administrative offices located 1111 Franklin St., 12 <sup>th</sup> Floor, Oakland, Oakland, Oakland, Oakland, Oakland, O
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and which is fo	ound in
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(d)	U.S. Patent No.:, issued
and any legal (	equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, an Eerces and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, an Eerces and any legal equivalent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension part, extension part, extension part, extension to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension part, ext

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executed this	Signature of Inventor(s)
23 day of June, 2000	- March
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ket No. 023070-077630US

## **ASSIGNMENT**

U.C. Case No. 99-177-5

For good and va	luable consideration, the recei	pt of which is hereby acknow	wledged, ASSIGNOR(S),
1. <u>John Harada</u>		2. <u>Tamar Lotan</u>	
3. <u>Masa-Aki Oh</u> t	to	4. Robert B. Gold	berg
5. <u>Robert L. Fisc</u>	cher	6. <u>Anhthu Bui</u>	
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Corporation, ha 94607-5200, a interest for the improvements v	iving its statewide administr	and legal representatives of orial possessions and in all ention entitled:	ne University of California, a California Franklin St., 12 <sup>th</sup> Floor, Oakland, CA the ASSIGNEE all of its right, title and foreign countries in and to, any and all
and which is fo			
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(b)	U.S. patent application filed	I herewith and listing the abo	ove-named persons as inventors
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			to claim priority and, in and to, all Letters ny continuation, division, continuation-in- d any reissue, reexamination or extension

of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property; part, extension, conversion to 35 USC 111(a) or substitute the

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executed this	Signature of Inventor(s)
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